

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ming Cai et al.                      Art Unit :  
Serial No. : Unknown                              Examiner :  
Filed : Herewith  
Title : DUAL-WAVELENGTH HYBRID WAVEGUIDE COUPLER

jc997 U.S. PTO  
10/007630  
11/09/01

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

#4  
29 May 02  
R. Tallis

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made with the patent application. No fee is required.

The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

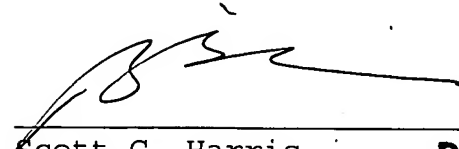
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Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are

earnestly solicited along with an early action on the  
merits.

Respectfully submitted,

Date: November 9, 2001



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-736001	Application No. Unknown
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Ming Cai and Kerry J. Vahala	
		Filing Date Herewith	Group Art Unit

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Knight, et al., "Optics Letters", Vol. 22 pp.1129-1131 (1997)
	AR	Cai et al., "Fiber-Optic Add-Drop Device Based on A Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., Vol. 11(6), pp. 686-687 (1999)
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	